

**Cube Yadkin Generation, LLC**  
**Yadkin Hydroelectric Project (FERC No. 2197)**  
**High Rock, Tuckertown, Narrows, and Falls Reservoirs**

**Shoreline Management Plan Permitting Fee<sup>1</sup> Schedule**  
**Effective January 1, 2018**

| <b>Program</b>                                   | <b>Activity</b>  | <b>One-Time Fee</b>   | <b>Annual Fee</b>  |
|--|--|---|--------------------|
| <b>Private Recreation Facility Permitting</b>    | Private Pier Recreation Facility Permit Eligibility Determination                    | \$175   | Not applicable     |
|  | Private Pier Recreation Facility Construction Permit                                 | \$250   | \$65               |
|  | Transfer of Private Pier Recreation Facility Permit                                  | \$175   | Not applicable     |
|  | Request for Repair/Modification  | \$175   | Not applicable     |
|  | Site Visit/Activity Permit Consultation  | \$125   | Not applicable     |
| <b>Subdivision Access Approval</b>               | Subdivision Access Approval Request (5 or fewer lots)                                | \$4,500   | Not applicable     |
|  | Subdivision Access Approval Request (more than 5 lots, but with 10 or fewer lots)    | \$8,000   | Not applicable     |
|  | Subdivision Access Approval Request (more than 10 lots)                              | \$10,000  | Not applicable     |
| <b>Multi-Use Facility Permitting<sup>2</sup></b> | Marina (commercial and non-commercial) – accommodates more than 10 watercraft        | \$12,000  | \$350 <sup>3</sup> |
|  | Marina (commercial) – accommodates 1-10 watercraft                                   | \$4,000<br>(additional \$5,500) if prior FERC approval required | \$350              |
|  | Boat dock – non-commercial and accommodates 1-10 watercraft                          | \$4,000   | \$350              |
|  | Launch ramp (commercial and non-commercial)  | \$2,750   | \$350              |
|  | Multi-use pier (commercial and non-commercial) – accommodates no watercraft          | \$2,750   | \$350              |
|  | Transfer of Multi-Use Facility Permit  | \$700   | NA                 |
|  | Ski Ramps / Slalom Course (or other on-water structure anchored to reservoir bottom) | \$500   | \$350              |

<sup>1</sup> Cube Yadkin Generation, LLC may revise this fee schedule without notice.

<sup>2</sup> For complex projects, a higher fee may be assessed. Significantly higher expenses will only be incurred after notification of the applicant, who will have an opportunity to withdraw the application.

<sup>3</sup> Multi-Use Facility Annual Fees are assessed per structure (e.g., the annual fees for a launch ramp with a boat dock are \$700).

|  |   |  |                |
|--|---|--|----------------|
| <b>Industrial Use Permitting<sup>4</sup></b> | Industrial Use Application (FERC Notice/Approval Required)      | \$10,000   | Not applicable |
|  | Industrial Use Application (No FERC Involvement)                | \$4,000  | Not applicable |
|  | Easement Agreement or Other Conveyance                          | \$3,000 (additional \$5,500) if prior FERC approval required | Not applicable |
| <b>Shoreline Stewardship</b>                 | Excavation  | \$200  | Not applicable |
|  | Erosion Control/Shoreline Stabilization – Phase I (Assessment)  | \$575  | Not applicable |
|  | Erosion Control/Shoreline Stabilization – Phase II (Permitting) | \$1,075  | Not applicable |
|  | Private irrigation System                                       | \$175  | Not applicable |
|  | Permit for Vegetation Removal                                   | \$50   | Not applicable |
|  | On-Site Consultation on Vegetation Removal                      | \$150  | Not applicable |

### **Enforcement Fee Schedule**

The primary sanctions for violations of SMP requirements are loss of eligibility for: (i) a private (individual or shared) recreation facility permit within the Project Boundary (i.e., on a reservoir); and (ii) use of, or private access to the Project lands and waters across, the Cube-Managed Buffer.

In addition, in the event of a violation Cube Yadkin, at its sole option, has the right to: (i) terminate any existing permits; and (ii) erect a barrier along the Project Boundary or across the Cube-Managed Buffer to restrict access to the Project lands and waters; and (iii) require, at the adjoining property owner’s sole expense, (a) removal of any piers, pathways, or other facilities and structures located within the Project Boundary or on the Cube-Managed Buffer, and (b) restoration and/or mitigation, up to and including restoring Project lands and waters and the Cube-Managed Buffer to their original condition. In addition, if the adjoining property owner fails to take the required action after notice from Cube Yadkin, Cube Yadkin will consider any facilities and structures remaining within the Project Boundary or the Cube-Managed Buffer as a trespass upon its property, and reserves the right to, at the adjoining property owner’s sole expense, remove the facilities and structures, treat them as its own property without any liability to the adjoining property owner for payment, and perform the required restoration and/or mitigation. Cube also may pursue any other rights or remedies, including damages, it may have in any permit, or at law or in equity.

| <b>Violation</b>   | <b>Penalty Fee</b>   |
|--|--|
| Failure to comply with SMP procedures or requirements                        | \$100 per occurrence for the first year<br>\$1,000 per occurrence per year after (until issue is resolved)         |
| Refusal to remove unauthorized structure and/or conduct required mitigation. | 100% of the cost to remove the structure and/or conduct required mitigation, plus a set management fee of \$1,000. |

<sup>4</sup> For complex projects, a higher fee may be assessed. Significantly higher expenses will only be incurred after notification of the applicant, who will have an opportunity to withdraw the application.